The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete.

The reader should not assume that the information is accurate and complete.

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM D

Notice of Exempt Offering of Securities

OMB APPROVAL

**OMB** 3235-Number: 0076

Estimated average

burden

hours per

4.00 response:

1. Issuer's Identity

**Previous CIK (Filer ID Number)** None **Entity Type** Names

0001107421 **EASYWEB INC** X Corporation

> Name of Issuer Limited Partnership

ZIOPHARM ONCOLOGY INC Limited Liability Company Jurisdiction of

General Partnership **Incorporation/Organization Business Trust DELAWARE** Other (Specify)

Year of Incorporation/Organization

X Over Five Years Ago

Within Last Five Years (Specify Year)

Yet to Be Formed

2. Principal Place of Business and Contact Information

Name of Issuer

ZIOPHARM ONCOLOGY INC

**Street Address 1 Street Address 2** 

PARRIS BUILDING 34, NAVY YARD PLAZA ONE FIRST AVENUE

ZIP/PostalCode Phone Number of Issuer City **State/Province/Country BOSTON MASSACHUSETTS** 02129 617-259-1970

3. Related Persons

**Last Name** First Name Middle Name

Cooper J.N. Laurence

> **Street Address 1 Street Address 2**

One First Ave. Parris Bldg 34, Navy c/o Ziopharm Oncology, Inc.

Yard

State/Province/Country City ZIP/PostalCode

**Boston MASSACHUSETTS** 02129

**Relationship:** X Executive Officer X Director Promoter

Clarification of Response (if Necessary):

**Last Name** First Name Middle Name

Lafond Kevin G.

> **Street Address 1 Street Address 2**

One First Ave. Parris Bldg 34, Navy c/o Ziopharm Oncology, Inc.

Yard

ZIP/PostalCode City State/Province/Country

**Boston MASSACHUSETTS** 02129

**Relationship:** X Executive Officer Director Promoter

**Street Address 1** 

Last Name First Name Middle Name

Hadfield

Robert

Street Address 2

c/o Ziopharm Oncology, Inc.

One First Ave. Parris Bldg 34, Navy

' Yai

City State/Province/Country ZIP/PostalCode

Boston MASSACHUSETTS 02129

Relationship: X Executive Officer Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Mauney David M.

Street Address 1 Street Address 2

c/o Ziopharm Oncology, Inc.

One First Ave. Parris Bldg 34, Navy

Yard

City State/Province/Country ZIP/PostalCode

Boston MASSACHUSETTS 02129

**Relationship:** X Executive Officer Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Buck Jill

Street Address 1 Street Address 2

c/o Ziopharm Oncology, Inc.

One First Ave. Parris Bldg 34, Navy

Yard

City State/Province/Country ZIP/PostalCode

Boston MASSACHUSETTS 02129

Relationship: X Executive Officer Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

De Groot Eleanor

Street Address 1 Street Address 2

c/o Ziopharm Oncology, Inc.

One First Ave. Parris Bldg 34, Navy

Yard

City State/Province/Country ZIP/PostalCode

Boston MASSACHUSETTS 02129

**Relationship:** X Executive Officer Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Shukla Sath

Street Address 1 Street Address 2

c/o Ziopharm Oncology, Inc.

One First Ave. Parris Bldg 34, Navy

Yard

City State/Province/Country ZIP/PostalCode

Boston MASSACHUSETTS 02129

**Relationship:** X Executive Officer Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Hagen

Heidi

Street Address 1

Street Address 2

c/o Ziopharm Oncology, Inc.

One First Ave. Parris Bldg 34, Navy

Yard

City

State/Province/Country ZIP/PostalCode

02129

Boston MASSACHUSETTS

**Relationship:** Executive Officer X Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Braunstein Scott

Street Address 1 Street Address 2

c/o Ziopharm Oncology, Inc.

One First Ave. Parris Bldg 34, Navy
Yard

1

City State/Province/Country ZIP/PostalCode

Boston MASSACHUSETTS 02129

**Relationship:** Executive Officer X Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Ezickson Elan Z.

Street Address 1 Street Address 2

c/o Ziopharm Oncology, Inc.

One First Ave. Parris Bldg 34, Navy

Yard

City State/Province/Country ZIP/PostalCode

Boston MASSACHUSETTS 02129

**Relationship:** Executive Officer X Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Pagan Douglas W.

Street Address 1 Street Address 2

c/o Ziopharm Oncology, Inc.

One First Ave. Parris Bldg 34, Navy

Ya

City State/Province/Country ZIP/PostalCode

Boston MASSACHUSETTS 02129

**Relationship:** Executive Officer X Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Tarriff Scott

Street Address 1 Street Address 2

c/o Ziopharm Oncology, Inc.

One First Ave. Parris Bldg 34, Navy

Yard

City State/Province/Country ZIP/PostalCode

Boston MASSACHUSETTS 02129

**Relationship:** Executive Officer X Director Promoter

Clarification of Response (if Necessary):

4. Industry Group

Agriculture Health Care Retailing **Banking & Financial Services** X Biotechnology Restaurants Commercial Banking Health Insurance Technology Insurance Computers Hospitals & Physicians Investing Telecommunications Pharmaceuticals **Investment Banking** Pooled Investment Fund Other Technology Other Health Care Travel Is the issuer registered as Manufacturing an investment company under Real Estate Airlines & Airports the Investment Company Commercial Act of 1940? **Lodging & Conventions** Construction Yes No Tourism & Travel Services Other Banking & Financial Services **REITS & Finance** Other Travel **Business Services** Residential Other

Energy Other Real Estate

Coal Mining

Other Energy

Oil & Gas

Not Applicable

**Electric Utilities** 

**Energy Conservation Environmental Services** 

### 5. Issuer Size

#### OR **Revenue Range** Aggregate Net Asset Value Range No Revenues No Aggregate Net Asset Value \$1 - \$1,000,000 \$1 - \$5,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 \$5,000,001 -\$25,000,001 - \$50,000,000 \$25,000,000 \$25,000,001 -\$50,000,001 - \$100,000,000 \$100,000,000 Over \$100.000.000 Over \$100,000,000 X Decline to Disclose Decline to Disclose

Not Applicable

# 6. Federal Exemption(s) and Exclusion(s) Claimed (select all that apply)

	Investment Company Act Section 3(c)		
Rule 504(b)(1) (not (i), (ii) or (iii))	Section 3(c)(1)	Section 3(c)(9)	
Rule 504 (b)(1)(i)	Section 3(c)(2)	Section 3(c)(10)	
Rule 504 (b)(1)(ii) Rule 504 (b)(1)(iii) X Rule 506(b)	Section 3(c)(3)	Section 3(c)(11)	
	Section 3(c)(4)	Section 3(c)(12)	
Rule 506(c)	Section 3(c)(5)	Section 3(c)(13)	
Securities Act Section 4(a)(5)	Section 3(c)(6)	Section 3(c)(14)	
	Section 3(c)(7)		

## 7. Type of Filing

X New Notice Date of First Sale 2019-07-26 First Sale Yet to Occur Amendment

# 8. Duration of Offering

Does the Issuer intend this offering to last more than one ye	ear? Yes X No	
9. Type(s) of Securities Offered (select all that apply)		
Equity Debt  X Option, Warrant or Other Right to Acquire Another Security to be Acquired Upon Exercise of Option, Warrant	ant or	
Other Right to Acquire Security	Other (describe)	
10. Business Combination Transaction		
Is this offering being made in connection with a business of a merger, acquisition or exchange offer?	ombination transaction, such as Yes X N	Io
Clarification of Response (if Necessary):		
11. Minimum Investment		
Minimum investment accepted from any outside investor \$	50 USD	
12. Sales Compensation		
Recipient	Recipient CRD Number None	
Raymond James & Associates, Inc.	705	
(Associated) Broker or Dealer X None (Associated) Broker or Dealer CRD Number X None		
None	None	
Street Address 1	Street Address 2	
880 Carillon Parkway		
City	State/Province/Country	ZIP/Postal Code
St. Petersburg	FLORIDA	33716
State(s) of Solicitation (select all that apply) Check "All States" or check individual States	Foreign/non-US	
VIRGINIA		
NEW YORK		
TEXAS		
FLORIDA		
MARYLAND		
13. Offering and Sales Amounts		
Total Offering Amount \$105,106,064 USD or Indefi	inite	
Total Amount Sold \$105,106,064 USD		
Total Remaining to be Sold \$0 USD or Indefi	inite	
Clarification of Response (if Necessary):		
Consists of amounts which may be received by the Compar	ny upon the exercise of warrants (assuming	no cashless exercises)
14. Investors		
Select if securities in the offering have been or may be s	old to persons who do not qualify as accred	ited
investors, and enter the number of such non-accredited i	nvestors who already have invested in the o	ffering.
Regardless of whether securities in the offering have bee accredited investors, enter the total number of investors		alify as 5
15. Sales Commissions & Finder's Fees Expenses		
Provide separately the amounts of sales commissions and fi	inders fees expenses, if any. If the amount of	f an expenditure is not

Sales Commissions \$1,000,000 USD Estimate
Finders' Fees \$0 USD Estimate

Clarification of Response (if Necessary):

No fees in connection with exercise of warrants.

16. Use of Proceeds

Provide the amount of the gross proceeds of the offering that has been or is proposed to be used for payments to any of the persons required to be named as executive officers, directors or promoters in response to Item 3 above. If the amount is unknown, provide an estimate and check the box next to the amount.

\$0 USD Estimate

Clarification of Response (if Necessary):

Signature and Submission

Please verify the information you have entered and review the Terms of Submission below before signing and clicking SUBMIT below to file this notice.

Terms of Submission

In submitting this notice, each issuer named above is:

- Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, in the accordance with applicable law, the information furnished to offerees.\*
- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against the issuer in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.
- Certifying that, if the issuer is claiming a Regulation D exemption for the offering, the issuer is not disqualified from relying on Rule 504 or Rule 506 for one of the reasons stated in Rule 504(b)(3) or Rule 506(d).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
ZIOPHARM ONCOLOGY INC	/s/ Robert Hadfield	Robert Hadfield	General Counsel & Secretary	2019-08-09

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

\* This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials under this undertaking or otherwise and can require offering materials only to the extent NSMIA permits them to do so under NSMIA's preservation of their anti-fraud authority.