## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

## **SCHEDULE 14A**

## (Rule 14a-101)

INFORMATION REQUIRED IN PROXY STATEMENT SCHEDULE 14A INFORMATION

Proxy Statement Pursuant to Section 14(a) of the Securities Exchange Act of 1934

Filed by the Registrant  $extsf{ }$ 

Filed by a Party other than the Registrant  $\Box$ 

Check the appropriate box:

□ Preliminary Proxy Statement

□ Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))

□ Definitive Proxy Statement

Definitive Additional Materials

□ Soliciting Material Pursuant to § 240.14a-12

# ZIOPHARM Oncology, Inc.

(Name of Registrant as Specified in Its Charter)

(Name of Person(s) Filing Proxy Statement, if Other Than the Registrant)

Payment of Filing Fee (Check the appropriate box):

⊠ No fee required.

Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.

(1) Title of each class of securities to which transaction applies:

(2) Aggregate number of securities to which transaction applies:

(3) Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (set forth the amount on which the filing fee is calculated and state how it was determined):

(4) Proposed maximum aggregate value of transaction:

(5) Total fee paid:

Fee paid previously with preliminary materials:

Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify the filing for which the offsetting fee was paid previously. Identify the previous filing by registration statement number, or the Form or Schedule and the date of its filing.

(1) Amount previously paid:

(2) Form, Schedule or Registration Statement No.:

(3) Filing Party:

(4) Date Filed:

# \*\*\* Exercise Your *Right* to Vote \*\*\* Important Notice Regarding the Availability of Proxy Materials for the Stockholder Meeting to Be Held on June 29, 2020.

ZIOPHARM ONCOLOGY, INC.	Meeting Information
	Meeting Type: Annual Meeting
	For holders as of: May 1, 2020
	Date: June 29, 2020 Time: 9:00 AM ET
	Location: Meeting live via the Internet-please visit www.virtualshareholdermeeting.com/ZIOP2020.
PHARM ONCOLOGY, INC. N: CORPORATE SECRETARY E RIST AVENUE, BARRIS BLOG #34 DE ROOR, NAVY YARD PLAZA TON, MA 02129	The company will be hosting the meeting live via the Internet this year. To attend the meeting via the Internet please visit www.virtualshareholdermeeting.com/ZIOP2020 and be sure to have the information that is printed in the box marked by the arrow $\rightarrow$ XXXX XXXX XXXX XXXX (located on the following page).
	You are receiving this communication because you hold shares in the company named above.
	This is not a ballot. You cannot use this notice to vote these shares. This communication presents only an overview of the more complete proxy materials that are available to you on the Internet. You may view the proxy materials online at www.proxyvote.com or easily request a paper copy (see reverse side).
	We encourage you to access and review all of the important information contained in the proxy materials before voting.

See the reverse side of this notice to obtain proxy materials and voting instructions.

ZIOPHI ATTN: ONE FI THIRD BOSTO

## – Before You Vote –

How to Access the Proxy Materials

#### Proxy Materials Available to VIEW or RECEIVE:

NOTICE AND PROXY STATEMENT FORM 10-K FORM 10-KA

### How to View Online:

How to Request and Receive a PAPER or E-MAIL Copy:

If you want to receive a paper or e-mail copy of these documents, you must request one. There is NO charge for requesting a copy. Please choose one of the following methods to make your request:

BY INTERNET: www.proxyvote.com

2) BY TELEPHONE: 1-800-579-1639

3) BY E-MAIL\*: sendmaterial@proxyvote.com

Requests, instructions and other inquiries sent to this e-mail address will NOT be forwarded to your investment advisor. Please make the request as instructed above on or before June 15, 2020 to facilitate timely delivery.

## — How To Vote —

Please Choose One of the Following Voting Methods

#### Vote By Internet:

Before The Meeting:

Go to www.proxyvote.com. Have the information that is printed in the box marked by the arrow  $\rightarrow$  [XXXX XXXX XXXX XXXX] (located on the following page) available and follow the instructions.

During The Meeting:

Go to www.virtualshareholdermeeting.com/ZIOP2020. Have the information that is printed in the box marked by the arrow  $\rightarrow XXXXXXXXXXXXXXXXX$  (located on the following page) available and follow the instructions.

Vote By Mail: You can vote by mail by requesting a paper copy of the materials, which will include a proxy card.

### Voting Items

# The Board of Directors recommends you vote FOR the following:

1. Election of Directors

#### Nominees:

01)	Christopher Bowden	05)	Heidi Hagen
02)	Scott Braunstein	06)	Douglas W. Pagan
03)	Laurence J. N. Cooper	07)	Scott Tarriff
04)	Elan Z. Ezickson	.,	

#### The Board of Directors recommends you vote FOR proposals 2, 3, 4 and 5.

- To ratify the selection by the audit committee of the board of directors of RSM US LLP as the Company's independent registered public accounting firm of the Company for its fiscal year ending December 31, 2020.
- 3. To approve, on an advisory basis, the compensation of the Company's named executive officers as disclosed in the proxy statement.
- 4. To approve the Company's 2020 Equity Incentive Plan.
- To approve an amendment to the Company's amended and restated certificate of incorporation to increase the authorized number of shares of common stock from 250,000,000 shares to 445,000,000 shares.

NOTE: To transact any other business as may properly come before the meeting or any adjournments or postponements thereof.

Due to public health concerns regarding the COVID-19 pandemic, the Annual Meeting will be held in virtual format only.

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