SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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			2. Issuer Name and Ticker or Trading Symbol <u>ZIOPHARM ONCOLOGY INC</u> [ZIOP]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
BAGLEY	RICHARD F	<u>.</u>	[]	X	Director	10% Owner				
	(Last) (First) (Middle) ONE FIRST AVE, BUILDING 34 NAVY YARD PLAZA		3. Date of Earliest Transaction (Month/Day/Year) 12/03/2009	- x	Officer (give title below) President, COO	Other (specify below) & Treasurer				
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line)	vidual or Joint/Group Fili	ng (Check Applicable				
BOSTON	MA	02129		X	Form filed by One Re	porting Person				
(City)	(State)	(Zip)	—		Form filed by More th Person	an One Reporting				
		Table I - Non-D	erivative Securities Acquired, Disposed of, or Bene	ficially	Owned					

Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially		7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock, \$.001 par value	12/03/2009		F ⁽¹⁰⁾		15,875	D	\$3.66	159,125	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Nu of Deriv Secu Acqu (A) o Dispo of (D (Instri and S	rities lired r osed) r. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$1.7							(1)	07/01/2014	Common Stock	150,668		150,668	D	
Stock Option (right to buy)	\$4.31							(2)	06/08/2015	Common Stock	63,197		63,197	D	
Stock Option (right to buy)	\$4.31							(3)	09/13/2015	Common Stock	27,417		27,417	D	
Stock Option (right to buy)	\$5.01							04/26/2006	04/26/2016	Common Stock	54,873		54,873	D	
Stock Option (right to buy)	\$5.01							(4)	04/26/2016	Common Stock	40,000		40,000	D	
Stock Option (right to buy)	\$6.49							(5)	12/13/2016	Common Stock	20,000		20,000	D	
Stock Option (right to buy)	\$4.85							(6)	06/18/2017	Common Stock	25,000		25,000	D	
Stock Option (right to buy)	\$2.73							(7)	12/12/2017	Common Stock	75,000		75,000	D	
Stock Option (right to buy)	\$2.14							(8)	06/25/2018	Common Stock	60,000		60,000	D	
Common Stock (right to buy)	\$0.7							(9)	05/13/2019	Common Stock	100,000		100,000	D	

Explanation of Responses:

1. 50,223 shares vest on each of 7/1/05 and 7/1/06; 50,222 shares vest on 7/1/07.

2. 21,066 shares vest on each of 7/1/05 and 7/1/06; 21,065 shares vest on 7/1/07.

- 3. 9,139 shares vest on each of 9/13/05, 7/1/06 and 7/1/07.
- 4. 20,000 shares vest immediately; the remainder vest on 12/14/06.
- 5. 6,667 shares vest on each of 12/13/07 and 12/13/08; 6,666 shares vest on 12/13/09.
- $6.\ 8,334$ shares vest on $6/18/08;\ 8,333$ shares vest on each of 6/18/09 and 6/18/10.
- 7. 25,000 shares vest on each of 12/12/08, 12/12/09 and 12/12/10.
- 8. 20,000 shares vest on each of 6/25/2009, 6/25/2010 and 6/25/2011.
- 9. 25,000 shares vest immediately; 25,000 shares vest on each of 8/13/2009, 11/13/2009 and 2/13/2010.

10. Disposition represents shares forfeited to satisfy withholding tax obligations upon the vesting of a restricted stock grant.

<u>/s/ Richard E. Bagley</u>

** Signature of Reporting Person

** Signatur

<u>12/07/2009</u> erson Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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